

SFB F68
Tomography Across the Scales



Motion Detection in Diffraction Tomography

Michael Quellmalz | TU Berlin | IPMS Conference, Malta, 28 May 2024
joint work with Robert Beinert, Peter Elbau, Clemens Kirisits, Monika Ritsch-Marte, Otmar Scherzer, Eric Setterqvist, Gabriele Steidl



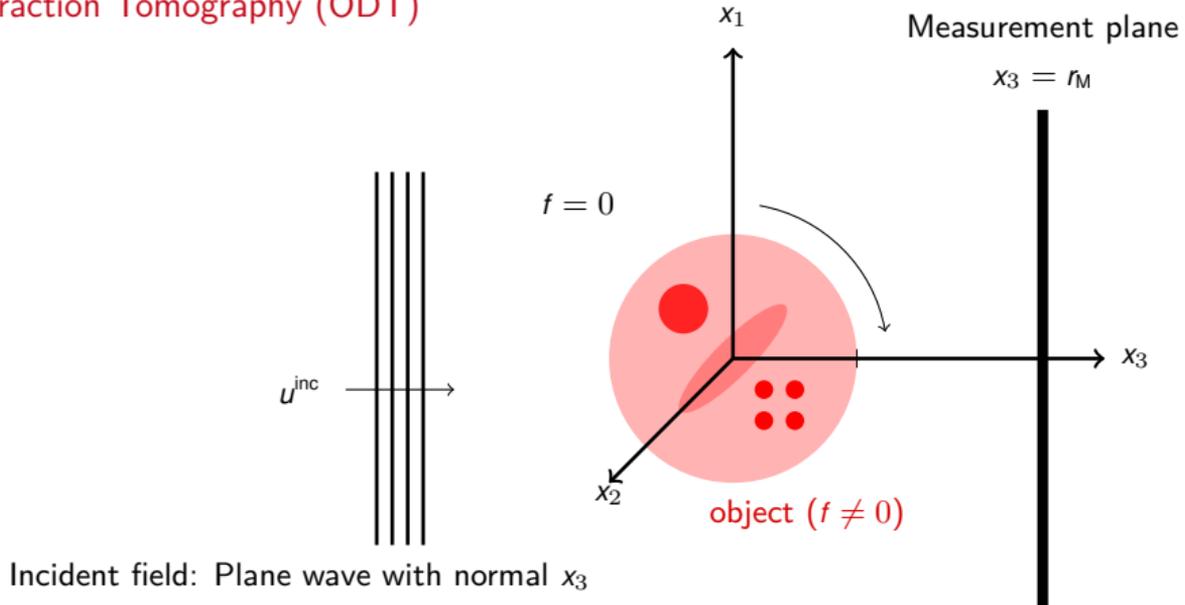
Outline

1 Introduction

2 Reconstruction of the object

3 Reconstructing the motion

Optical Diffraction Tomography (ODT)



Model of Optical Diffraction Tomography (for one direction)

- **We have:** field $u^{\text{tot}}(\tilde{\mathbf{x}}, r_M)$, $\tilde{\mathbf{x}} \in \mathbb{R}^{d-1}$, at measurement plane $x_d = r_M$
- **We want:** scattering potential f on \mathbb{R}^d with compact support
- Illumination by plane wave $u^{\text{inc}}(\mathbf{x}) = e^{ik_0 \mathbf{x} \cdot \mathbf{s}}$ with direction $\mathbf{s} \in \mathbb{S}^{d-1}$ and wave number k_0
- Total field $u^{\text{tot}}(\mathbf{x}) = u(\mathbf{x}) + u^{\text{inc}}(\mathbf{x})$ solves the **wave equation**

$$-(\Delta + f(\mathbf{x}) + k_0^2) u^{\text{tot}}(\mathbf{x}) = 0$$

- Rearranging yields

$$-(\Delta + k_0^2) u(\mathbf{x}) - \underbrace{(\Delta + k_0^2) u^{\text{inc}}(\mathbf{x})}_{=0} = f(\mathbf{x}) (u(\mathbf{x}) + u^{\text{inc}}(\mathbf{x}))$$

Born approximation

Assuming $|u| \ll |u^{\text{inc}}|$, we obtain

$$-(\Delta + k_0^2) u(\mathbf{x}) = f(\mathbf{x}) u^{\text{inc}}(\mathbf{x}) \quad (1)$$

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$$-(\Delta + k_0^2) u(\mathbf{x}) = f(\mathbf{x}) u^{\text{inc}}(\mathbf{x}) \quad (1)$$

Lemma

For $g \in L^1(\mathbb{R}^d)$ with compact support,

$$u = g * G \in L_{loc}^1(\mathbb{R}^d) \cap \mathcal{S}'(\mathbb{R}^d)$$

is the unique outgoing solution of the Helmholtz equation (1), with fundamental solution

$$G(\mathbf{x}) = \frac{i}{4} \left(\frac{k_0}{2\pi|\mathbf{x}|} \right)^{\frac{d-2}{2}} H_{\frac{d-2}{2}}^{(1)}(k_0|\mathbf{x}|),$$

where $H_a^{(1)}$ is the Hankel function of the first kind and order a .

Lemma

If $g_n \rightarrow 0$ in $L^1(\mathbb{R}^d)$ and $\bigcup_n \text{supp } g_n$ is bounded, then $g_n * G \rightarrow 0$ in $\mathcal{S}'(\mathbb{R}^d)$.

Fourier diffraction theorem

Let

- u be the outgoing solution of the Helmholtz equation (1),
- $f \in L^1(\mathbb{R}^d)$ have compact support,
- the incident field $u^{\text{inc}}(\mathbf{x}) = e^{ik_0 \mathbf{x} \cdot \mathbf{s}}$, and
- the measurement plane $x_d = r_M$ not intersect $\text{supp } f$.

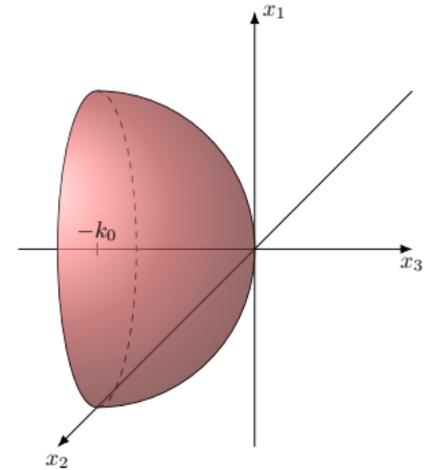
Then

$$\sqrt{\frac{2}{\pi}} \kappa i e^{-i\kappa r_M} \underbrace{\tilde{\mathcal{F}} u(\tilde{\mathbf{x}}, r_M)}_{\text{measured}} = \mathcal{F}f(\mathbf{h}(\tilde{\mathbf{x}}) - k_0 \mathbf{s}), \quad \tilde{\mathbf{x}} \in \mathbb{R}^{d-1},$$

where $\tilde{\mathcal{F}}$ is the Fourier transform in $d - 1$ coordinates, $\mathbf{h}(\tilde{\mathbf{x}}) := \begin{pmatrix} \tilde{\mathbf{x}} \\ \kappa \end{pmatrix}$ and

$$\kappa := \sqrt{k_0^2 - |\tilde{\mathbf{x}}|^2}.$$

based on [Wolf 1969] [Natterer Wuebbeling 2001] [Kak Slaney 2001]
this L^p version from [Kirisits Q. Setterqvist 2024]



Semisphere $\mathbf{h}(\mathbf{k})$ of available
data in Fourier space



Rigid Motion of the Object

- Scattering potential of the **moved object**: $f(R_t(\mathbf{x} - \mathbf{d}_t))$
- Rotation $R_t \in \text{SO}(d)$ (with $R_0 := \text{id}$)
- Translation $\mathbf{d}_t \in \mathbb{R}^d$ (with $\mathbf{d}_0 := \mathbf{0}$)
- Incidence direction $\mathbf{s}_t \in \mathbb{S}^{d-1}$

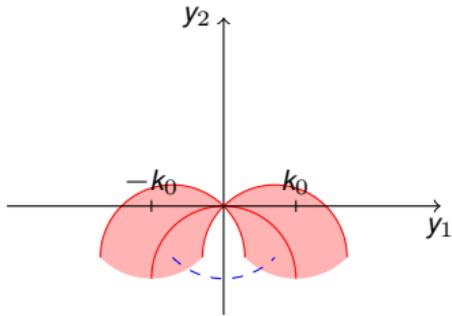
Fourier diffraction theorem (with motion)

The quantity

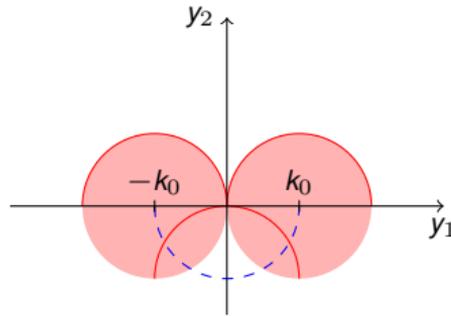
$$\mu_t(\mathbf{x}) := \sqrt{\frac{2}{\pi}} \kappa i e^{-i\kappa r_M} \tilde{\mathcal{F}}u(\mathbf{k}, r_M) = \mathcal{F}f(\underbrace{R_t \mathbf{h}(\mathbf{x}) - k_0 \mathbf{s}_t}_{\text{Fourier cover}}) e^{-i\langle \mathbf{d}_t, \mathbf{h}(\mathbf{x}) \rangle}, \quad \|\mathbf{x}\| < k_0,$$

depends only on the measurements.

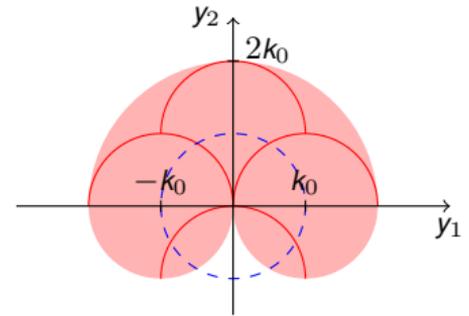
Fourier cover: Angle scan



Quarter turn $t \in [\pi/4, 3\pi/4]$



Half turn $t \in [0, \pi]$



Full turn $t \in [0, 2\pi]$

2D Fourier coverage for incidence direction $\mathbf{s}(t) = (\cos t, \sin t)$. Measurements are taken at $r_2 = r_M$. The Fourier coverage (light red) is a union of infinitely many semicircles, whose centers lie on the dashed blue curve.

Fourier cover: Object rotation

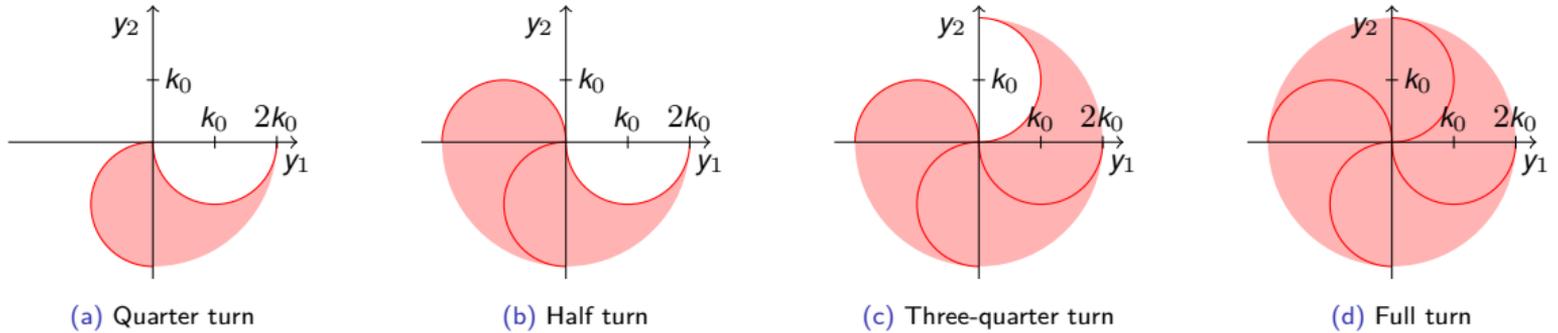


Figure: 2D Fourier coverage for a rotating object, incidence direction $\mathbf{s} = (1, 0)$ and measurements taken at $r_2 = r_M$.

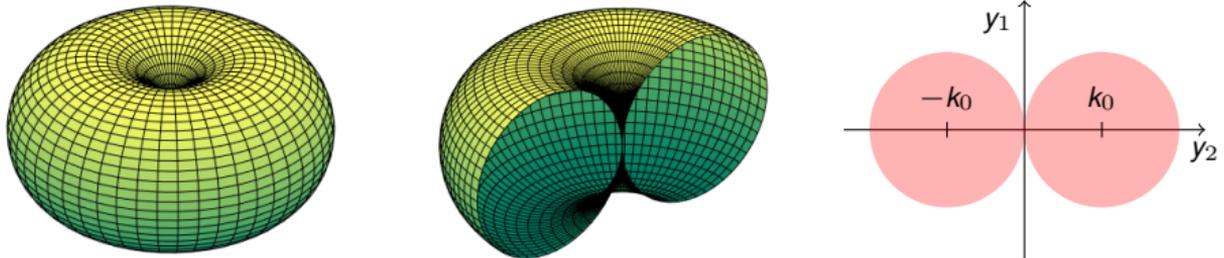
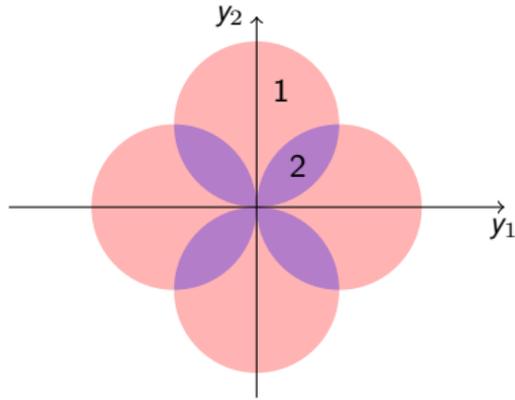
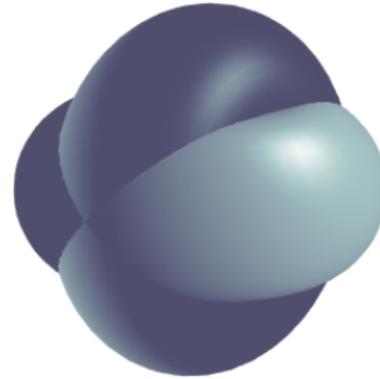


Figure: 3D Fourier coverage for a full rotation of the object about the r_1 -axis with incidence direction $\mathbf{s} = (0, 1, 0)$.

Fourier cover: Angle scan & Rotation



2D Fourier cover
(colors represent Banch indicatrix)



3D Fourier cover

- Incidence is rotated along a half circle
- and the experiment is repeated with the object rotated by 90° .

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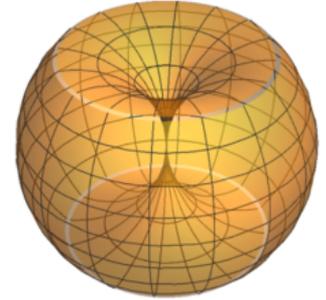


Filtered Backpropagation

Idea: Inverse Fourier transform of $\mathcal{F}f$ restricted to the set of available data \mathcal{Y} ,

$$f_{\text{bp}}(\mathbf{r}) := (2\pi)^{-\frac{d}{2}} \int_{\mathcal{Y}} \mathcal{F}f(\mathbf{y}) e^{i\mathbf{y}\cdot\mathbf{r}} d\mathbf{y}$$

with the transformation $T(\mathbf{x}, t) := R_t \mathbf{h}(\mathbf{x})$



Theorem

[Kirisits, Q, Setterqvist 2024]

Let the rotation $R_t \in SO(d)$, translation \mathbf{d}_t and incidence $\mathbf{s}_t \in \mathbb{S}^{d-1}$ be piecewise C^1 . Then

$$f_{\text{bp}}(\mathbf{r}) = (2\pi)^{-\frac{d}{2}} \int_0^T \int_{B_{k_0}} \mathcal{F}f(T(\mathbf{x}, t)) e^{i\mathcal{T}(\mathbf{x}, t)\cdot(\mathbf{r}+\mathbf{d}_t)} \frac{|\det \nabla T(\mathbf{x}, t)|}{\text{Card } T^{-1}(T(\mathbf{x}, t))} d\mathbf{x} dt,$$

where $\det \nabla T(\mathbf{x}, t) = \frac{k_0(t)k_0'(t) - R_t \mathbf{h}(\mathbf{x}, t) (k_0(t)R_t \mathbf{s}_t)'}{\kappa}$.

Banach indicatrix $\text{Card}(T^{-1}(\mathbf{y}))$ needs to be estimated (except for special cases).

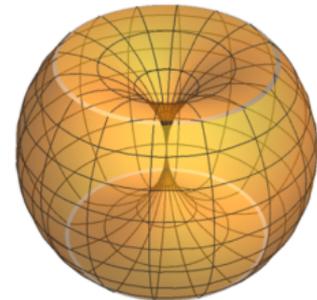
Well-known for rotation around coordinate axis [Devaney 1982]

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Discretization

- Object $f(\mathbf{x}_k)$ with $\mathbf{x}_k = \mathbf{k} \frac{2L_S}{K}$, $\mathbf{k} \in \mathcal{I}_K^3 := \{-K/2, \dots, K/2 - 1\}^3$
- Measurements $u_{t_m}^{\text{tot}}(\mathbf{y}_n, r_M)$ with $\mathbf{y}_n = \mathbf{n} \frac{2L_M}{N}$, $\mathbf{n} \in \mathcal{I}_N^2$
- discrete Fourier transform (DFT)

$$[\mathbf{F}_{\text{DFT}} u_{t_m}]_{\ell} := \sum_{\mathbf{n} \in \mathcal{I}_N^2} u_{t_m}(\mathbf{y}_n, r_M) e^{-2\pi i \mathbf{n} \cdot \ell / N}, \quad \ell \in \mathcal{I}_N^2,$$

- Non-uniform discrete Fourier transform (NDFT)

$$[\mathbf{F}_{\text{NDFT}} \mathbf{f}]_{m, \ell} := \sum_{\mathbf{k} \in \mathcal{I}_K^3} f_{\mathbf{k}} e^{-i \mathbf{x}_{\mathbf{k}} \cdot (R_{t_m} h(\mathbf{y}_{\ell}))}, \quad m \in \mathcal{J}_M, \ell \in \mathcal{I}_N^2$$

Discretized forward operator

$$\mathbf{D}^{\text{tot}} \mathbf{f} := \mathbf{F}_{\text{DFT}}^{-1}(\mathbf{c} \odot \mathbf{F}_{\text{NDFT}} \mathbf{f}) + e^{i k_0 r_M}, \quad \mathbf{f} \in \mathbb{R}^{K^d},$$

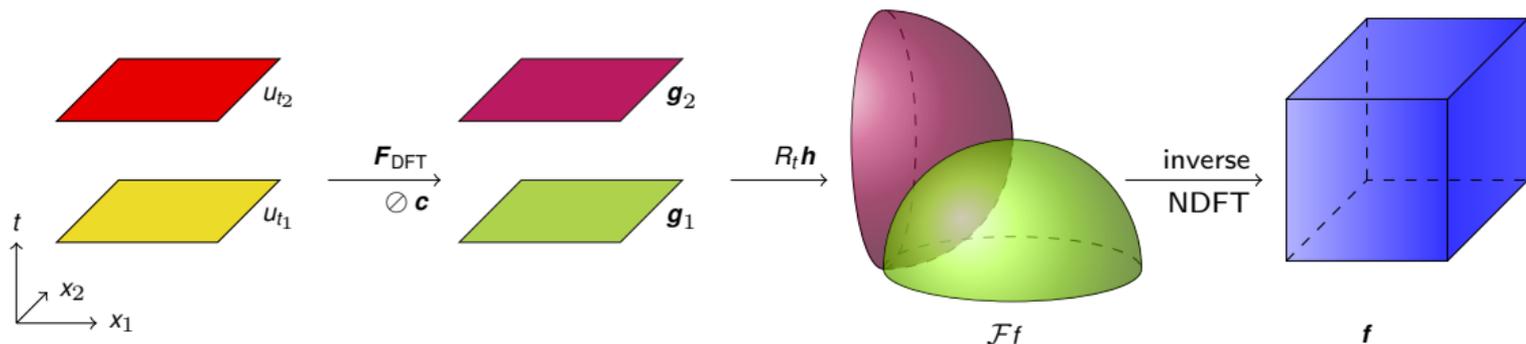
where $\mathbf{c} = \left[\frac{i}{\kappa(\mathbf{y}_{\ell})} e^{i \kappa(\mathbf{y}_{\ell}) r_M} \left(\frac{N}{L_M}\right)^{d-1} \left(\frac{L_S}{K}\right)^d \right]_{\ell \in \mathcal{I}_N^2}$

Reconstruction of f

Inverse

$$f \approx \mathbf{F}_{\text{NDFT}}^{-1} \left((\mathbf{F}_{\text{DFT}} \mathbf{u}^{\text{tot}} - e^{ik_0 r_M}) \oslash \mathbf{c} \right)$$

Crucial part: inversion of NDFT $\mathbf{F}_{\text{NDFT}}^{-1}$



Conjugate Gradient (CG) Method

- Conjugate Gradients (CG) on the normal equations

$$\arg \min_{\mathbf{f} \in \mathbb{R}^{K^3}} \|\mathbf{F}_{\text{NDFT}}(\mathbf{f}) - \mathbf{g}\|_2^2$$

- NFFT (Non-uniform fast Fourier transform) for computing $\mathbf{F}_{\text{NDFT}}(\mathbf{f})$ in $\mathcal{O}(N^3 \log N)$ steps

[Dutt Rokhlin 93], [Beylkin 95], [Potts Steidl Tasche 01], [Potts Kunis Keiner 04+]

TV (Total Variation) Regularization

- Regularized inverse

$$\arg \min_{\mathbf{f} \in \mathbb{R}^{K^3}} \chi_{\mathbb{R}_{\geq 0}^{K^3}}(\mathbf{f}) + \frac{1}{2} \|\mathbf{F}_{\text{NDFT}}(\mathbf{f}) - \mathbf{g}\|_2^2 + \lambda \text{TV}(\mathbf{f}),$$

- Primal-dual (PD) iteration [Chambolle & Pock 2010]
- Adaptive selection of step sizes [Yokota & Hontani 2017]

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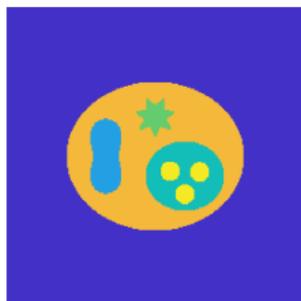
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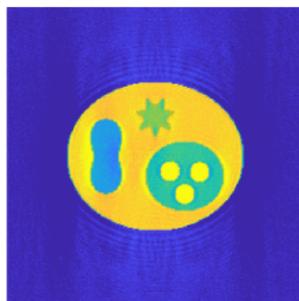
$$\arg \min_{\mathbf{f} \in \mathbb{R}^{K^3}} \chi_{\mathbb{R}_{\geq 0}^{K^3}}(\mathbf{f}) + \frac{1}{2} \|\mathbf{F}_{\text{NDFT}}(\mathbf{f}) - \mathbf{g}\|_2^2 + \lambda \text{TV}(\mathbf{f}),$$

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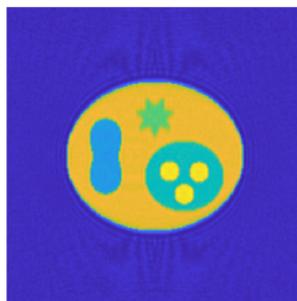
Reconstruction: Moving Rotation Axis



Ground truth f
($240 \times 240 \times 240$ grid)



Backpropagation
PSNR 24.17
SSIM 0.171
5 s



Backpropagation
(with intercalix estimation)
PSNR 31.84
SSIM 0.350
5 s + 22 s precompute



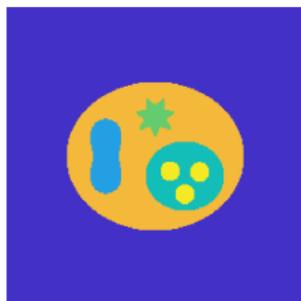
CG Reconstruction
PSNR 35.84
SSIM 0.962
82 s



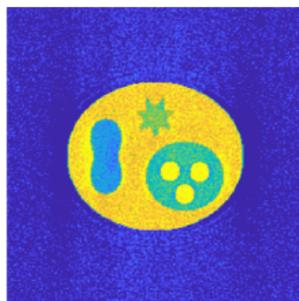
TV ($\lambda = 0.02$)
PSNR 40.95
SSIM 0.972
1395 s



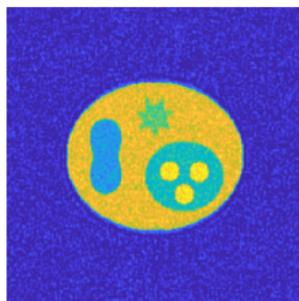
Reconstruction: Moving Rotation Axis and 5% Gaussian Noise



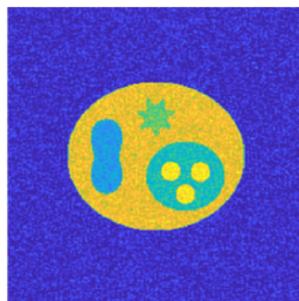
Ground truth f



Backpropagation
PSNR 21.19
SSIM 0.075



Backpropagation
(with indicatrix estimation)
PSNR 25.50
SSIM 0.157



CG Reconstruction
PSNR 24.10
SSIM 0.193



TV ($\lambda = 0.05$)
PSNR 38.01
SSIM 0.772



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Formal Uniqueness Result

Theorem

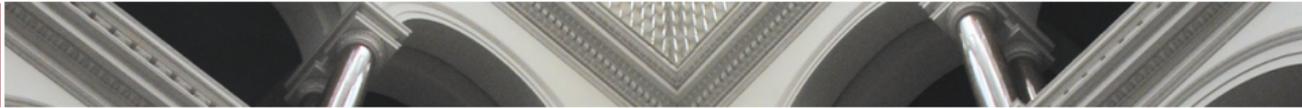
[Kurlberg Zickert 2021]

Let

- the matrix of second-order moments of f have distinct, real eigenvalues,
- certain third-order moments do not vanish,
- the translation \mathbf{d}_t be restricted to a known plane,
- the rotations R_t cover $SO(3)$.

Then f is uniquely determined given the diffraction images u_t for all (unknown) motions.

We find an algorithm to recover the rotations and translations

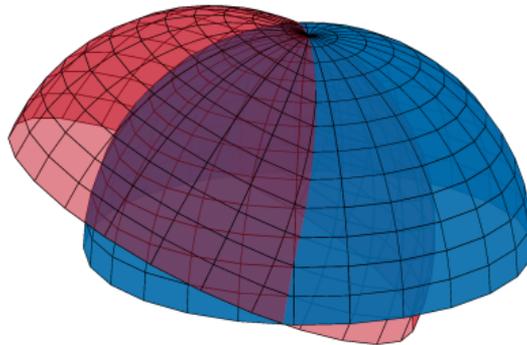


Detection of the Rotation in 3D

Goal: Estimate the rotation R_t from the transformed measurements $\nu_t(\mathbf{k}) = |\mathcal{F}f(R_t\mathbf{h}(\mathbf{k}))|^2$

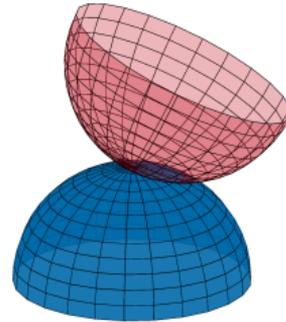
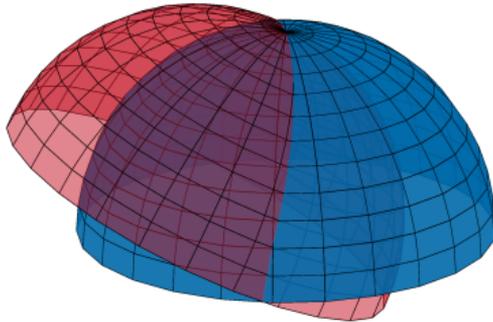
Common circle approach:

- For each t we have the Fourier data $\mathcal{F}f$ on one semisphere
- Two semispheres intersect in a circle (arc), where $\mathcal{F}f$ must agree
- Find the common circle of two semispheres



Dual Common Circles

- f real-valued (no absorption)
- Additional symmetry $\mathcal{F}f(\mathbf{y}) = \overline{\mathcal{F}f(-\mathbf{y})}$
- Additional pair of “dual” common circles



For $\varphi \in [0, 2\pi)$, $\theta \in [0, \pi]$, we can parameterize the common circles in the 2D data by

$$\begin{aligned}\gamma^{\varphi, \theta}(\beta) &:= \frac{k_0}{2} \sin(\theta)(\cos(\beta) - 1) \begin{pmatrix} \cos(\varphi) \\ \sin(\varphi) \end{pmatrix} + k_0 \cos(\frac{\theta}{2}) \sin(\beta) \begin{pmatrix} -\sin(\varphi) \\ \cos(\varphi) \end{pmatrix}, \quad \beta \in \mathbb{R}, \\ \check{\gamma}^{\varphi, \theta}(\beta) &:= -\frac{k_0}{2} \sin(\theta)(\cos(\beta) - 1) \begin{pmatrix} \cos(\varphi) \\ \sin(\varphi) \end{pmatrix} - k_0 \sin(\frac{\theta}{2}) \sin(\beta) \begin{pmatrix} -\sin(\varphi) \\ \cos(\varphi) \end{pmatrix}, \quad \beta \in \mathbb{R}.\end{aligned}$$

Theorem (unique reconstruction)

[Q. Elbau Scherzer Steidl 2024]

Let $s, t \in [0, T]$. Assume that there exist unique angles $\varphi, \psi \in \mathbb{R}/(2\pi\mathbb{Z})$ and $\theta \in [0, \pi]$ such that

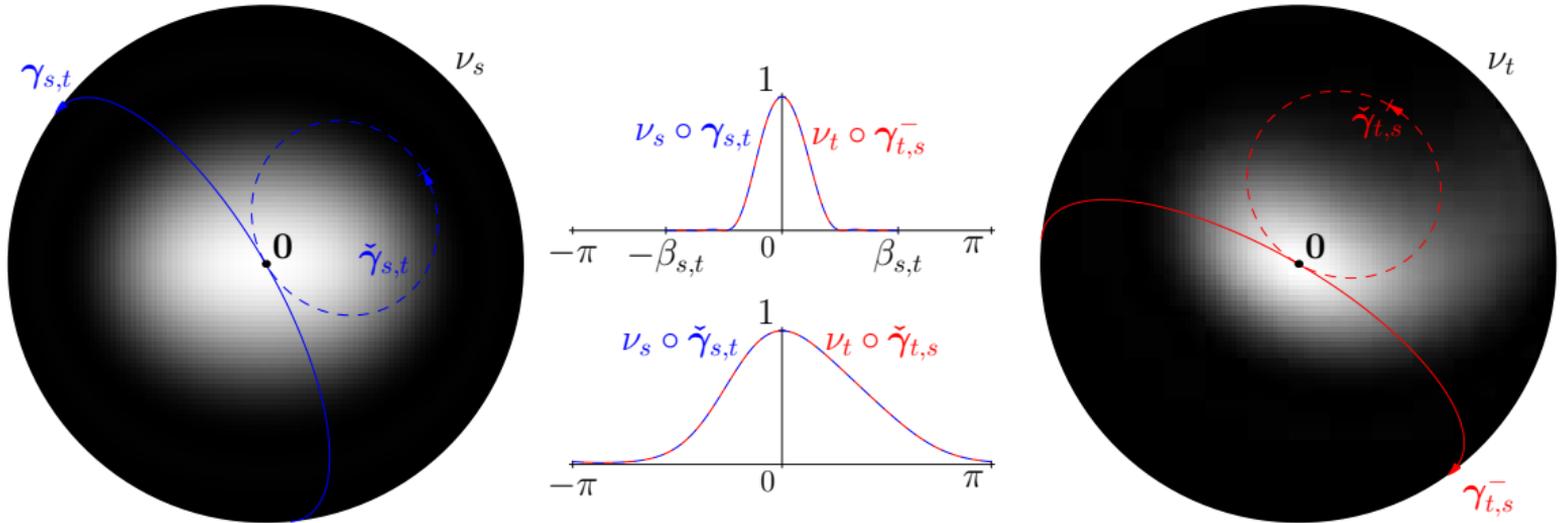
$$\begin{aligned}\nu_s(\gamma^{\varphi, \theta}(\beta)) &= \nu_t(\gamma^{\pi - \psi, \theta}(-\beta)) \quad \forall \beta \in [-\frac{\pi}{2}, \frac{\pi}{2}] \quad \text{and} \\ \nu_s(\check{\gamma}^{\varphi, \theta}(\beta)) &= \nu_t(\check{\gamma}^{\pi - \psi, \theta}(\beta)) \quad \forall \beta \in [-\frac{\pi}{2}, \frac{\pi}{2}].\end{aligned}$$

Then the relative rotation $R_s^\top R_t$ is uniquely determined by the Euler angles

$$R_s^\top R_t = Q^{(3)}(\varphi) Q^{(2)}(\theta) Q^{(3)}(\psi),$$

where $Q^{(i)}(\alpha)$ denotes the rotation around the i -th coordinate with angle α .

Visualization of the Common Arcs



Here $\gamma_{s,t} := \gamma^{\varphi,\theta}$ and $\gamma_{t,s} := \gamma^{\pi-\psi,\theta}$ for $R_s^\top R_t = Q^{(3)}(\varphi) Q^{(2)}(\theta) Q^{(3)}(\psi)$

Infinitesimal Common Circles Method

Theorem

[Q. Elbau Scherzer Steidl 2024]

Let the rotation $R \in C^1([0, T] \rightarrow SO(3))$ and $t \in (0, T)$.

We define the associated **angular velocity** as the vector $\omega_t \in \mathbb{R}^3$ satisfying

$$R_t^\top R_t' \mathbf{y} = \omega_t \times \mathbf{y}, \quad \mathbf{y} \in \mathbb{R}^3,$$

and write it in cylindrical coordinates

$$\omega_t = \begin{pmatrix} \rho \cos \varphi \\ \rho \sin \varphi \\ \zeta \end{pmatrix}.$$

Then

$$- \partial_t \nu_t(r\varphi) = \left(\left(\sqrt{k_0^2 - r^2} - k_0 \right) \rho + r\zeta \right) \left\langle \nabla \nu_t(r\varphi), \begin{pmatrix} -\sin \varphi \\ \cos \varphi \end{pmatrix} \right\rangle \quad \forall r \in (-k_0, k_0).$$

Reconstructing the Translation

Recall: Data $\mu_t(\mathbf{x}) = \mathcal{F}f(R_t\mathbf{h}(\mathbf{x})) e^{-i\langle \mathbf{d}_t, \mathbf{h}(\mathbf{x}) \rangle}$

Theorem

[Q. Elbau Scherzer Steidl 2024]

Let $s, t \in [0, T]$ be such that $R_s\mathbf{e}^3 \neq \pm R_t\mathbf{e}^3$. Assume $f \geq 0$, $f \neq 0$ and $\mathbf{d}_0 = \mathbf{0}$.

Then \mathbf{d}_t can be uniquely reconstructed from the two equations:

$$e^{i\langle R_t\mathbf{d}_t - R_s\mathbf{d}_s, R_s\mathbf{h}(\gamma_{s,t}(\beta)) \rangle} = \frac{\mu_s(\gamma_{s,t}(\beta))}{\mu_t(\gamma_{t,s}(-\beta))}, \quad \beta \in [-\pi, \pi], \mu_s(\gamma_{s,t}(\beta)) \neq 0,$$

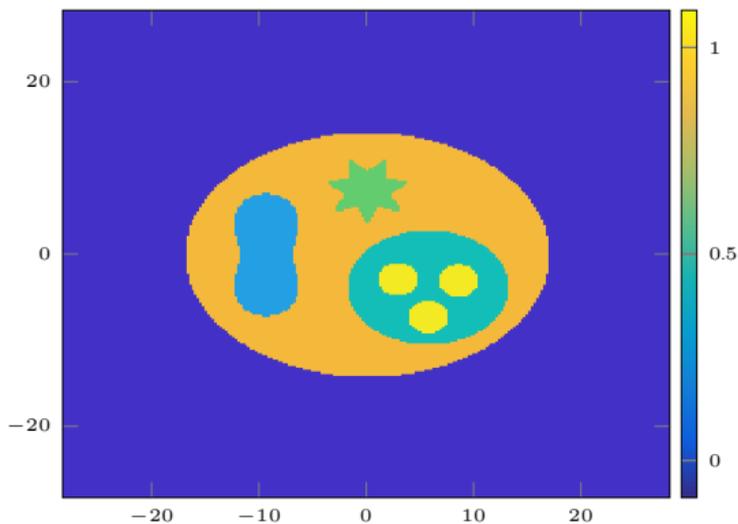
$$e^{i\langle R_t\mathbf{d}_t - R_s\mathbf{d}_s, R_s\mathbf{h}(\check{\gamma}_{s,t}(\beta)) \rangle} = \frac{\mu_s(\check{\gamma}_{s,t}(\beta))}{\mu_t(\check{\gamma}_{t,s}(\beta))}, \quad \beta \in [-\pi, \pi], \mu_s(\check{\gamma}_{s,t}(\beta)) \neq 0.$$

Similar reconstruction result for $R_s\mathbf{e}^3 = \pm R_t\mathbf{e}^3$

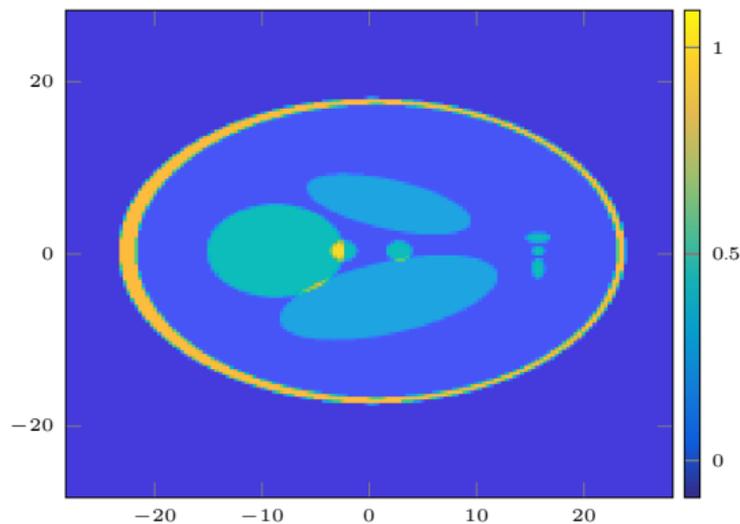


Numerical Simulation: Test Functions (3D)

Cell phantom

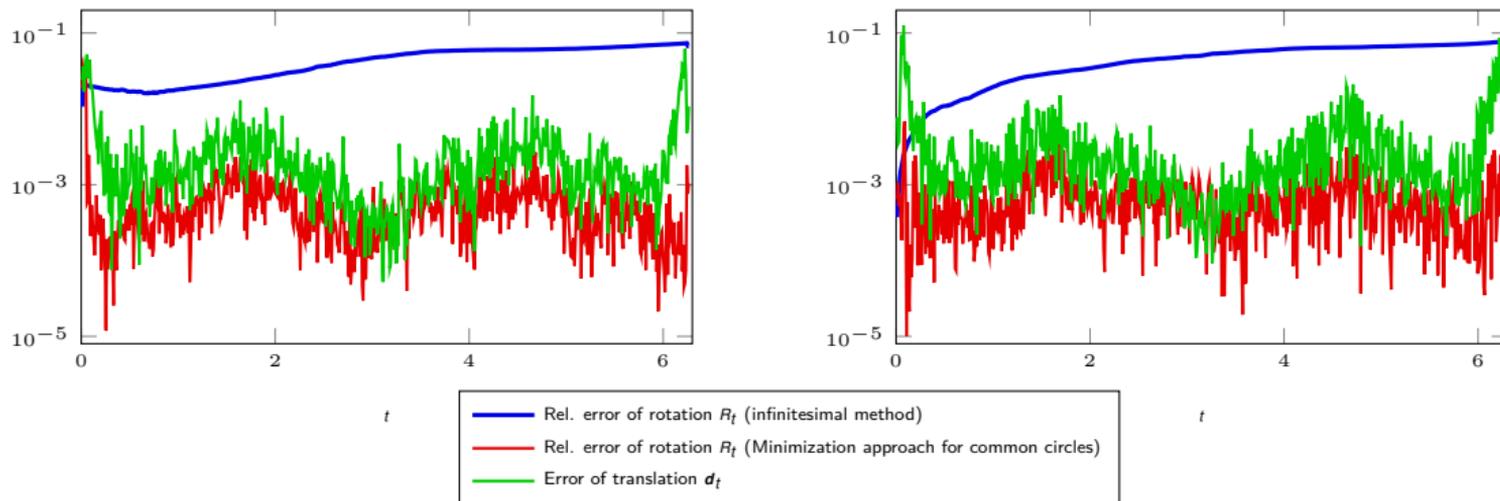


Shepp-Logan phantom





Numerical Simulation: Results

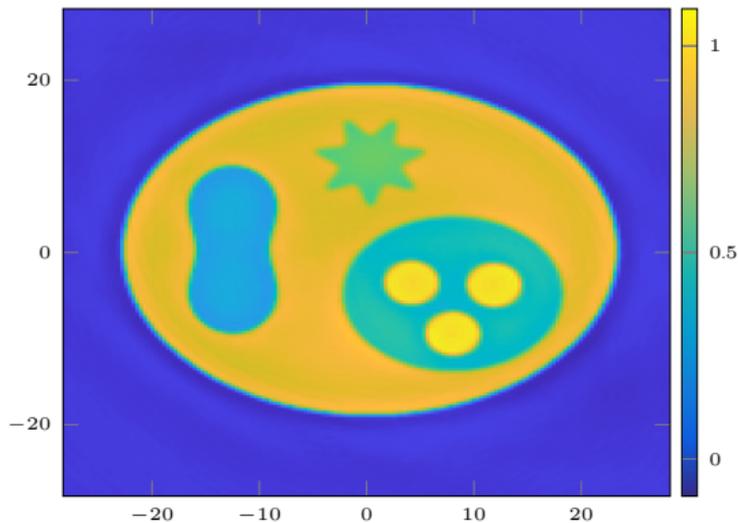


The rotation is around the moving axis $(\sqrt{1 - a^2} \cos(b \sin(t/2)), \sqrt{1 - a^2} \sin(b \sin(t/2)), a) \in \mathbb{S}^2$ for $a = 0.28$ and $b = 0.5$. The translation is $d_t = 2(\sin t, \sin t, \sin t)$.

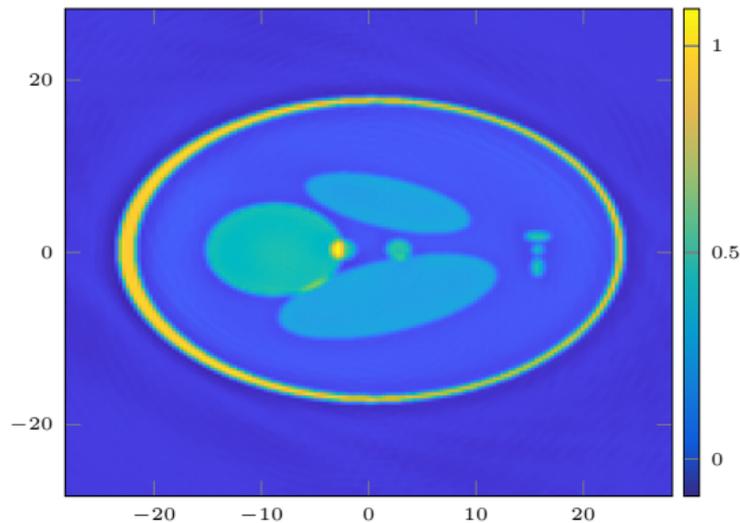
Left: cell phantom. Right: Shepp-Logan phantom.



Reconstructed Scattering Potential f



Cell phantom (PSNR 32.21, SSIM 0.754)



Shepp-Logan (PSNR 30.85, SSIM 0.772)

Conclusions

- Fourier diffraction theorem on $L^1(\mathbb{R}^d)$
- Filtered backpropagation formula for a wide range of experimental setups
- Detection of rotation is mostly possible
- Detection of translation is possible

Future research

- Application to real-world data
- Combining motion detection with phase retrieval



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References



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